

REQUEST FOR AUTHORISATION OF A CLINICAL TRIAL ON A MEDICINAL PRODUCT FOR HUMAN USE TO THE COMPETENT AUTHORITIES AND FOR OPINION OF THE ETHICS COMMITTEES IN THE COMMUNITY
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To be filled in by the applicant

The questions in this form for the request for authorisation from the Competent Authority are also relevant for the opinion from an Ethics Committee (it represents module 1 of the form for applying to an ethics committee) and can be used as part of that application. Please indicate the relevant purpose in a box below.

REQUEST FOR AUTHORISATION TO THE COMPETENT AUTHORITY: **No ●**
REQUEST FOR OPINION OF THE ETHICS COMMITTEE: **Yes ●**

A. TRIAL IDENTIFICATION

A.1	Member State in which the submission is being made:	Denmark - DHMA
A.2	EudraCT number:	2021-000556-19
A.3	Full title of the trial: English	Comparing Restrictive vs. Liberal Oxygen Strategies for Trauma Patients: The TRAUMOX2 Trial
A.3.1	Title of the trial for lay people, in easily understood, i.e. non-technical, language: English	Comparing Restrictive vs. Liberal Oxygen Strategies for Trauma Patients: The TRAUMOX2 Trial
A.3.2	Name or abbreviated title of the trial where available:	
A.4	Sponsor's protocol code number, version and date ¹ :	
A.4.1	Sponsor's protocol code number:	6011
A.4.2	Sponsor's protocol version:	1.4
A.4.3	Sponsor's protocol date:	2021-09-30
A.5	Additional international study identifiers (e.g. WHO, ISRCTN ² , US NCT Number ³) if available	
A.5.1	ISRCTN number:	
A.5.2	US NCT number:	
A.5.3	WHO Universal Trial Number (UTN):	
A.5.4	Other Identifier:	
A.6	Is this a resubmission? If 'Yes', indicate the resubmission letter ⁴ :	No ● First Submission
A.7	Is the trial part of an agreed Paediatric Investigation Plan?	No ●
A.8	EMA Decision number of Paediatric Investigation Plan:	

B. IDENTIFICATION OF THE SPONSOR RESPONSIBLE FOR THE REQUEST

B.1 SPONSOR		
B.1.1	Name of organisation:	Rigshospitalet, Department of Anaesthesia, Centre of Head and Orthopaedics
B.1.2	Name of the person to contact:	
B.1.2.1	Given name	Jacob
B.1.2.2	Middle name	
B.1.2.3	Family name	Steinmetz
B.1.3	Address:	
B.1.3.1	Street address	Inge Lehmanns vej 6
B.1.3.2	Town/city	Copenhagen
B.1.3.3	Post code	2100
B.1.3.4	Country	Denmark
B.1.4	Telephone number:	+45 35458434
B.1.5	Fax number:	
B.1.6	E-mail:	jacob.steinmetz@regionh.dk

B.2 LEGAL REPRESENTATIVE⁵ OF THE SPONSOR IN THE COMMUNITY FOR THE PURPOSE OF THIS TRIAL (if different from the sponsor)		
B.2.1	Name of organisation:	
B.2.2	Name of person to contact:	
B.2.2.1	Given name	
B.2.2.2	Middle name	
B.2.2.3	Family name	
B.2.3	Address:	
B.2.3.1	Street address	
B.2.3.2	Town/city	
B.2.3.3	Post code	
B.2.3.4	Country	
B.2.4	Telephone number:	
B.2.5	Fax number:	
B.2.6	E-mail:	

B.3 STATUS OF THE SPONSOR:		
B.3.1	Commercial:	No •
B.3.2	Non commercial:	Yes •

B.4 Source(s) of Monetary or Material Support for the clinical trial (repeat as necessary):		
B.4.1	Name of organisation:	The Novo Nordisk Foundation
B.4.2	Country:	Denmark

B.4 Source(s) of Monetary or Material Support for the clinical trial (repeat as necessary):		
B.4.1	Name of organisation:	The Lundbeck Foundation
B.4.2	Country:	Denmark

B.5 Contact point⁶ designated by the sponsor for further information on the trial		
B.5.1	Name of organisation:	Rigshospitalet, Department of Anaesthesia, Centre of Head and Orthopaedics
B.5.2	Functional name of contact point (e.g. "Clinical Trial Information Desk"):	Tobias Arleth
B.5.3	Address:	
B.5.3.1	Street address	Inge Lehmanns Vej 6
B.5.3.2	Town/city	Copenhagen
B.5.3.3	Post code	2100

B.5.3.4	Country	Denmark
B.5.4	Telephone number:	+45 35459502
B.5.5	Fax number:	
B.5.6	E-mail: (use a functional e-mail address rather than a personal one)	tobias.arleth@regionh.dk

C. APPLICANT IDENTIFICATION, (please tick the appropriate box)

C.2	REQUEST FOR THE ETHICS COMMITTEE
C.2.1	Sponsor
C.2.2	Legal Representative of the Sponsor
C.2.3	Person or organisation authorised by the sponsor to make the application
C.2.4	Investigator in charge of the application if applicable ⁸ : Co-ordinating investigator (for multicentre trial) Principal investigator (for single centre trial)
C.2.5	Complete the details of the applicant below even if they are provided elsewhere on the form:
C.2.5.1	Organisation:
C.2.5.2	Name of contact person:
C.2.5.2.1	Given name
C.2.5.2.2	Middle name
C.2.5.2.3	Family name
C.2.5.3	Address:
C.2.5.3.1	Street address
C.2.5.3.2	Town/city
C.2.5.3.3	Post code
C.2.5.3.4	Country
C.2.5.4	Telephone number:
C. 2.5.5	Fax number:
C. 2.5.6	E-mail:

D. INFORMATION ON EACH IMP

Information on each 'bulk product' before trial-specific operations (blinding, trial specific packaging and labelling) should be provided in this section for each investigational medicinal product (IMP) being tested including each comparator and each placebo, if applicable. **For placebo go directly to D.8.** If the trial is performed with several products use extra pages and give each product a sequential number in D.1.1. If the product is a combination product, information should be given for each active substance.

D.1 IMP IDENTIFICATION		
Indicate which of the following is described below, then repeat as necessary for each of the numbered IMPs to be used in the trial (assign numbers from 1-n):		
D.1.1	This refers to the IMP number:	PR1
D.1.2	IMP being tested	No •
D.1.3	IMP used as a comparator	Yes •
D.2 STATUS OF THE IMP		
D.2.1	Has the IMP to be used in the trial a marketing authorisation? Yes • If the IMP has a marketing authorisation in the Member State concerned by this application, but the trade name and marketing authorisation holder are not fixed in the protocol, go to section D.2.2.	
D.2.1.1	If 'Yes', specify the product to be used in the clinical trial:	
D.2.1.1.1	Trade name Oxygen	
D.2.1.1.1.1	EV Product Code (where applicable)	
D.2.1.1.2	Name of the Marketing Authorisation Holder:	Strandmøllen A/S, Linde Gas A/S and Air Liquide A/S
D.2.1.1.3	Marketing Authorisation number (if Marketing Authorisation granted by a Member State):	
D.2.1.1.4	Is the IMP modified in relation to its Marketing Authorisation? No •	
D.2.1.1.4.1	If 'Yes', please specify:	
D.2.1.2	The country that granted the Marketing Authorisation	Denmark
D.2.1.2.1	Is this the Member State concerned with this application?	Yes •
D.2.2	Situations where an IMP to be used in the CT has a Marketing Authorisation in the Member State concerned, but the protocol allows that any brand of the IMP with a Marketing Authorisation in that Member State be administered to the trial subjects and it is not possible to clearly identify the IMP(s) in advance of the trial start	
D.2.2.1	In the protocol, is treatment defined only by active substance? No •	
D.2.2.1.1	If 'Yes', give active substance in D.3.8 or D.3.9	
D.2.2.2	In the protocol, do treatment regimens allow different combinations of marketed products used according to local clinical practice at some or all investigator sites in the MS? No •	
D.2.2.2.1	If 'Yes', give active substance in D.3.8 or D.3.9	
D.2.2.3	The products to be administered as IMPs are defined as belonging to an ATC group ⁹ Yes •	
D.2.2.3.1	If 'Yes', give the ATC group of the applicable authorised codes in the ATC code field (level 3 or the level that can be defined) in D.3.3	
D.2.2.4	Other: No •	
D.2.2.4.1	If 'Yes', please specify:	
D.2.3	IMPD submitted:	
D.2.3.1	Full IMPD:	No •
D.2.3.2	Simplified IMPD:	No •
D.2.3.3	Summary of product characteristics (SmPC) only:	Yes •

D.2.4	Has the use of the IMP been previously authorised in a clinical trial conducted by the sponsor in the Community?	Yes •
D.2.4.1	If 'Yes' specify which Member States:	Denmark
D.2.5	Has the IMP been designated in this indication as an orphan drug in the Community?	No •
D.2.5.1	If 'Yes', give the orphan drug designation number ¹⁰ :	

D.2.6	Has the IMP been the subject of scientific advice related to this clinical trial?	No •
D.2.6.1	If 'Yes' to D.2.6, please indicate source of advice and provide a copy in the CTA request:	
D.2.6.1.1	CHMP ¹¹ ?	No •
D.2.6.1.2	National Competent Authority?	No •

D.3	DESCRIPTION OF THE IMP	
D.3.1	Product name where applicable ¹² :	
D.3.2	Product code where applicable ¹³ :	
D.3.3	ATC codes, if officially registered ¹⁴ :	V03AN01
D.3.4	Pharmaceutical form (use standard terms):	Medicinal gas, compressed
D.3.4.1	Is this a specific paediatric formulation?	No •
D.3.5	Maximum duration of treatment of a subject according to the protocol: 8 hours	
D.3.6	Dose allowed:	
D.3.6.1	For first trial only: Specify per day or total Specify total dose (number and unit): Route of administration (relevant to the first dose):	Not Answered •
D.3.6.2	For all trials Specify per day or total Specify total dose (number and unit):	Total • Please see study protocol, depends on randomisation group with either liberal or restrictive oxygen I litre(s)
	Route of administration (relevant to the maximum dose):	Respiratory use (Noncurrent)
D.3.7	Routes of administration (use standard terms):	Respiratory use (Noncurrent)

D.3.8	Name of each active substance (INN or proposed INN if available): OXYGEN	
D.3.9	Other available name for each active substance (provide all available):	
D.3.9.1	CAS ¹⁵ number	
D.3.9.2	Current sponsor code	
D.3.9.3	Other descriptive name OXYGEN	
D.3.9.4	EV Substance code	SUB14733MIG
D.3.9.5	Full Molecular formula	
D.3.9.6	Chemical/biological description of the Active Substance	
D.3.10	Strength (specify all strengths to be used):	
D.3.10.1	Concentration unit:	% percent
D.3.10.2	Concentration type ("exact number", "range", "more than" or "up to"):	range
D.3.10.3	Concentration (number).	21 - 100

D.3.11	Type of IMP	
	Does the IMP contain an active substance:	
D.3.11.1	Of chemical origin?	Yes •
D.3.11.2	Of biological / biotechnological origin (other than	No •

	Advanced Therapy IMP (ATIMP)?	
Is this a:		
D.3.11.3	Advanced Therapy IMP (ATIMP)?	No ●
D.3.11.3.1	Somatic cell therapy medicinal product ¹⁶ ?	No ●
D.3.11.3.2	Gene therapy medicinal product ¹⁷ ?	No ●
D.3.11.3.3	Tissue Engineered Product ¹⁸ ?	No ●
D.3.11.3.4	Combination ATIMP (i.e. one involving a medical device ¹⁹)?	No ●
D.3.11.3.5	Has the Committee on Advanced Therapies issued a classification for this product?	No ●
D.3.11.3.5.1	If 'Yes' please provide that classification and its reference number:	
D.3.11.4	Combination product that includes a device, but does not involve an Advanced Therapy?	No ●
D.3.11.5	Radiopharmaceutical medicinal product?	No ●
D.3.11.6	Immunological medicinal product (such as vaccine, allergen, immune serum)?	No ●
D.3.11.7	Plasma derived medicinal product?	No ●
D.3.11.8	Extractive medicinal product?	No ●
D.3.11.9	Recombinant medicinal product?	No ●
D.3.11.10	Medicinal product containing genetically modified organisms?	No ●
D.3.11.10.1	Has the authorisation for contained use or release been granted?	No ●
D.3.11.10.2	Is it pending?	No ●
D.3.11.11	Herbal medicinal product?	No ●
D.3.11.12	Homeopathic medicinal product?	No ●
D.3.11.13	Another type of medicinal product?	Yes ●
D.3.11.13.1	If 'another type of medicinal product' specify the type of medicinal product: Oxygen is a chemical element; a medicinal gas.	
D.3.12	Mode of action (<i>free text</i> ²⁰) Air is typically 21% oxygen by volume while oxygen therapy increases this by some amount up to 100%. Oxygen is required for normal cell metabolism. Haemoglobin carries oxygen from the lungs to the tissues throughout the body; in short, this is needed as aerobic respiration requires oxygen (O2) in order to create ATP.	
D.3.13	Is it an IMP to be used in a first-in-human clinical trial?	No ●
D.3.13.1	If 'Yes', are there risk factors identified, according to the guidance FIH? ²¹	

D.4	SOMATIC CELL THERAPY INVESTIGATIONAL MEDICINAL PRODUCT (NO GENETIC MODIFICATION)	
D.4.1	Origin of cells	
D.4.1.1	Autologous	No ●
D.4.1.2	Allogeneic	No ●
D.4.1.3	Xenogeneic	No ●
D.4.1.3.1	If 'Yes', specify the species of origin:	
D.4.2	Type of cells	
D.4.2.1	Stem cells	No ●
D.4.2.2	Differentiated cells	No ●
D.4.2.2.1	If 'Yes', specify the type (e.g. keratinocytes, fibroblasts, chondrocytes...):	
D.4.2.3	Others:	No ●
D.4.2.3.1	If others, specify:	

D.5	GENE THERAPY INVESTIGATIONAL MEDICINAL PRODUCTS	
D.5.1	Gene(s) of interest:	
D.5.2	In vivo gene therapy:	No ●
D.5.3	Ex vivo gene therapy:	No ●

D.5.4	Type of gene transfer product	
D.5.4.1	Nucleic acid (e.g. plasmid):	No ●
	If 'Yes', specify if:	
D.5.4.1.1	Naked:	No ●
D.5.4.1.2	Complexed	No ●
D.5.4.2	Viral vector:	No ●
D.5.4.2.1	If 'Yes', specify the type: adenovirus, retrovirus, AAV, ...:	
D.5.4.3	Others	No ●
D.5.4.3.1	If others, specify:	
D.5.5	Genetically modified somatic cells:	No ●
	If 'Yes', specify the origin of the cells:	
D.5.5.1	Autologous:	No ●
D.5.5.2	Allogeneic:	No ●
D.5.5.3	Xenogeneic:	No ●
D.5.5.3.1	If 'Yes', specify the species of origin:	
D.5.5.4	Specify type of cells (hematopoietic stem cells...):	

D.6 TISSUE ENGINEERED PRODUCT		
The indication which determines that this is a Tissue Engineered Product as opposed to a Cell Therapy product is given in section E.1.1.		
D.6.1	Origin of cells	
D.6.1.1	Autologous	No ●
D.6.1.2	Allogeneic	No ●
D.6.1.3	Xenogeneic	No ●
D.6.1.3.1	If 'Yes', specify the species of origin:	
D.6.2	Type of cells	
D.6.2.1	Stem cells	No ●
D.6.2.2	Differentiated cells	No ●
D.6.2.2.1	If 'Yes', specify the type of cells(e.g. keratinocytes, fibroblasts, chondrocytes, ...):	
D.6.2.3	Others:	No ●
D.6.2.3.1	If others, specify:	

D.7 PRODUCTS CONTAINING DEVICES (i.e. MEDICAL DEVICES, SCAFFOLDS ETC.)		
D.7.1	Give a brief description of the device:	
D.7.2	What is the name of the device?	
D.7.3	Is the device implantable?	No ●
D.7.4	Does this product contain:	
D.7.4.1	A medical device?	No ●
D.7.4.1.1	Does this medical device have a CE mark?	No ●
D.7.4.1.1.1	The notified body is:	
D.7.4.2	Bio-materials?	No ●
D.7.4.3	Scaffolds?	No ●
D.7.4.4	Matrices?	No ●
D.7.4.5	Other?	No ●
D.7.4.5.1	If other, specify:	

D.8 INFORMATION ON PLACEBO (if relevant; repeat as necessary)

D.8.1	Is there a placebo:	No ●
D.8.2	This refers to placebo number:	
D.8.3	Pharmaceutical form:	
D.8.4	Route of administration:	
D.8.5	Which IMP is it a placebo for? Specify IMP Number(s) from D.1.1	
D.8.5.1	Composition, apart from the active substance(s):	
D.8.5.2	Is it otherwise identical to the IMP?	Yes ? No ? Not Answered ?
D.8.5.2.1	If not, specify major ingredients:	

D.9 SITE(S) WHERE THE QUALIFIED PERSON CERTIFIES BATCH RELEASE²²

This section is dedicated to **finished** IMPs, i.e. medicinal products randomised, packaged, labelled and certified for use in the clinical trial. If there is more than one site or more than one IMP is certified, use extra pages and give each IMP its number from section D.1.1 or D.8.2 In the case of multiple sites indicate the product certified by each site

D.9.1	Do not fill in section D.9.2 for an IMP that: <i>Has a MA in the EU and</i> <i>Is sourced from the EU market and</i> <i>Is used in the trial without modification(e.g. not overencapsulated) and</i> <i>The packaging and labelling is carried out for local use only as per article 9.2. of the Directive 2005/28/EC (GCP Directive)</i> If all these conditions are met tick ● and list the number(s) of each IMP including placebo from sections D.1.1 and D.8.2 to which this applies PR1
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D.9.2	Who is responsible in the Community for the certification of the finished IMPs?
	This site is responsible for certification of (list the number(s) of each IMP including placebo from sections D.1.1 and D.8.2):
	please tick the appropriate box:
D.9.2.1	Manufacturer No ●
D.9.2.2	Importer No ●
D.9.2.3	Name of the organisation:
D.9.2.4	Address:
D.9.2.4.1	Street Address
D.9.2.4.2	Town/City
D.9.2.4.3	Post Code
D.9.2.4.4	Country
D.9.2.5	Give the manufacturing authorisation number:
D.9.2.5.1	If No authorisation, give the reasons:
	<i>Where the product does not have a MA in the EU, but is supplied in bulk and final packaging and labelling for local use is carried out in accordance with article 9.2 of Directive 2005/28/EC (GCP Directive) then enter the site where the product was finally certified for release by the Qualified Person for use in the clinical trial at D.9.2 above.</i>

E. GENERAL INFORMATION ON THE TRIAL

This section should be used to provide information about the aims, scope and design of the trial. When the protocol includes a sub-study in the MS concerned section E.2.3 should be completed providing information about the sub-study. To identify it check the sub-study box in the 'Objective of the trial' question below.

E.1 MEDICAL CONDITION OR DISEASE UNDER INVESTIGATION					
E.1.1	Specify the medical condition(s) to be investigated ²³ (free text): English	Victims of trauma are often healthy individuals prior to the incident, but acquire numerous complications including sepsis and pulmonary complications and diminished quality of life after trauma. We wish to optimize the oxygen treatment and look at the patients' 30-day mortality and/or major respiratory complications (pneumonia and acute respiratory distress syndrome) within 30 days after being allocated to either an 8 hour liberal or restrictive oxygen strategy.			
E.1.1.1	Medical condition in easily understood language English	Trauma patients is a diverse group of patients with acute injuries, and we wish to optimize the oxygen treatment and assess the patients' 30 day mortality and major lung complications within 30 days.			
E.1.1.2	Therapeutic area Diseases [C] - Respiratory Tract Diseases [C08]				
E.1.2	MedDRA version, system organ class, level, term and classification code ²⁴ :				
	Version	System Organ Class	Classification Code	Term	Level
	20.0	10022891 - Investigations	10033316	Oxygen saturation	PT
	20.0	10000004865	10050322	Oxygen supplementation	LLT
	20.0	10022891 - Investigations	10033323	Oxygen tension	LLT
	20.0	10022891 - Investigations	10033324	Oxygen tension abnormal NOS	LLT
	20.0	10022891 - Investigations	10033325	Oxygen tension decreased	LLT
	20.0	10022891 - Investigations	10033326	Oxygen tension increased	LLT
	20.0	10022891 - Investigations	10033327	Oxygen tension normal	LLT
	20.1	10022891 - Investigations	10068430	Arterial oxygen saturation	LLT
	20.1	10022891 - Investigations	10068431	Arterial oxygen saturation increased	LLT
	20.1	10022891 - Investigations	10068432	Arterial oxygen saturation decreased	LLT
	20.1	10022891 - Investigations	10068433	Arterial oxygen saturation abnormal	LLT
	20.1	10022891 - Investigations	10068434	Arterial oxygen partial pressure increased	LLT
	20.1	10022891 - Investigations	10068435	Arterial oxygen partial pressure decreased	LLT
	20.1	10022891 - Investigations	10068436	Arterial oxygen partial pressure abnormal	LLT
E.1.3	Is any of the conditions being studied a rare disease ²⁵ ?	No •			

E.2 OBJECTIVE OF THE TRIAL	
E.2.1	Main objective: English The objective of this trial, TRAUMOX2, will be to compare the effect of a

restrictive versus liberal oxygen strategy the first eight hours after trauma on the incidence of 30-day mortality and/or major respiratory complications (pneumonia and acute respiratory distress syndrome) within 30 days (combined endpoint).

E.2.2 Secondary objectives:
English **To assess mortality at 30 days and 12 months after trauma, major respiratory complications (pneumonia and acute respiratory distress syndrome) within 30 days, hospital length of stay (HOS LOS), ICU length of stay (ICU LOS), days alive outside the ICU, time on mechanical ventilation (until 30 days), days alive without mechanical ventilation, number of re-intubations within 30 days, pneumonia post-discharge within 30 days, episodes with hypoxaemia during intervention (saturation <90%), surgical site infections within 30 days, EQ-5D-5L score and GOSE score at 6 months and 12 months post-trauma.**

E.2.3 Is there a sub-study? **No •**

E.2.3.1 If 'Yes', give the full title, date and version of each sub-study and their related objectives:

E.3 PRINCIPAL INCLUSION CRITERIA (list the most important)

English

- Age ≥18 years, including fertile women*
- Blunt/penetrating trauma mechanism
- Direct transfer from the scene of accident to one of the participating trauma centres
- Trauma team activation
- The enrolling physician must initially expect a hospital length of stay for 24 hours or longer

***There is no added risk for enrolment of fertile women as oxygen administration is approved for this group of patients**

E.4 PRINCIPAL EXCLUSION CRITERIA (list the most important)

English

- Patients in cardiac arrest before/on admission
- Patients with a suspicion of carbon monoxide intoxication
- Patients with no/minor injuries after secondary survey will be excluded if they are expected to be discharged <24 hours

E.5 END POINT(S):

E.5.1 Primary End Point (repeat as necessary)²⁶
English **The incidence of 30-day mortality and/or major respiratory complications (pneumonia and acute respiratory distress syndrome) within 30 days (combined endpoint).**

E.5.1.1 Timepoint(s) of evaluation of this end point
English **30 days after after trauma.**

E.5.2 Secondary End Point (repeat as necessary)
English

- **Mortality at 30 days and 12 months after trauma**
- **Major respiratory complications (pneumonia and acute respiratory distress syndrome) within 30 days**
- **Hospital length of stay, intensive care unit (ICU) length of stay and days alive outside the ICU**
- **Time on mechanical ventilation (until 30 days), days alive without mechanical ventilation and re-intubation within 30 days**
- **Pneumonia post-discharge within 30 days**
- **Episodes of hypoxaemia during intervention (saturation <90%)**

- **Surgical site infections within 30 days**
- **EQ-5D-5L score at 6 and 12 months post-trauma**
- **GOSE score at 6 months and 12 months post-trauma**

E.5.2.1 Timepoint(s) of evaluation of this end point
English 30 days, 6 months and 12 months after trauma.

E.6 SCOPE OF THE TRIAL – Tick all boxes where applicable

E.6.1	Diagnosis	Yes •
E.6.2	Prophylaxis	Yes •
E.6.3	Therapy	Yes •
E.6.4	Safety	Yes •
E.6.5	Efficacy	Yes •
E.6.6	Pharmacokinetic	No •
E.6.7	Pharmacodynamic	No •
E.6.8	Bioequivalence	No •
E.6.9	Dose Response	Yes •
E.6.10	Pharmacogenetic	No •
E.6.11	Pharmacogenomic	No •
E.6.12	Pharmacoeconomic	No •
E.6.13	Others	No •
E.6.13.1	If others, specify:	

E.7 TRIAL TYPE AND PHASE²⁷

E.7.1	Human pharmacology (Phase I)	No •
Is it:		
E.7.1.1	First administration to humans	No •
E.7.1.2	Bioequivalence study	No •
E.7.1.3	Other:	No •
E.7.1.3.1	If other, please specify:	
E.7.2	Therapeutic exploratory (Phase II)	No •
E.7.3	Therapeutic confirmatory (Phase III)	No •
E.7.4	Therapeutic use(Phase IV)	Yes •

E.8 DESIGN OF THE TRIAL

E.8.1	Controlled	Yes •
If 'Yes', specify:		
E.8.1.1	Randomised:	Yes •
E.8.1.2	Open:	No •
E.8.1.3	Single blind:	No •
E.8.1.4	Double blind:	No •
E.8.1.5	Parallel group:	No •
E.8.1.6	Cross over:	No •
E.8.1.7	Other:	Yes •
E.8.1.7.1	If other specify:	
	English	Primary outcome assessors are treatment allocation blinded. See protocol for more blinding details.
E.8.2	If controlled, specify the comparator:	
E.8.2.1	Other medicinal product(s)	No •
E.8.2.2	Placebo	No •
E.8.2.3	Other	Yes •
E.8.2.3.1	If 'Yes' to other, specify :	
	English	Same drug in another dose
E.8.2.4	Number of treatment arms in the trial	2
E.8.3	Single site in the Member State concerned (see also section G):	No •

E.8.4	Multiple sites in the Member State concerned(see also section G):	Yes •
E.8.4.1	Number of sites anticipated in Member State concerned	3
E.8.5	Multiple Member States:	Yes •
E.8.5.1	Number of sites anticipated in the EEA:	8
E.8.6	Trial involving sites outside the EEA:	
E.8.6.1	Trial being conducted both within and outside the EEA:	No •
E.8.6.2	Trial being conducted completely outside of the EEA:	No •
E.8.6.3	If E.8.6.1 or E.8.6.2 are Yes, specify the regions in which trial sites are planned:	
	Denmark	
	Finland	
	France	
	Germany	
	Netherlands	
E.8.6.4	If E.8.6.1 or E.8.6.2 are Yes, specify the number of sites anticipated outside of the EEA:	
E.8.7	Trial having an independent data monitoring committee:	Yes •
E.8.8	Definition of the end of trial: If it is the last visit of the last subject, please enter "LVLS". If it is not LVLS provide the definition:	
	English	LVLS
E.8.9	Initial estimate of the duration of the trial ²⁸ (years, months and days)	
E.8.9.1	In the Member State concerned	2 years 6 months days
E.8.9.2	In all countries concerned by the trial	2 years 6 months days
E.8.10	Proposed date of start of recruitment	
E.8.10.1	In the Member State concerned	2021-10-01
E.8.10.2	In any country	2021-10-01

F. POPULATION OF TRIAL SUBJECTS

F.1 AGE RANGE		
F.1.1	Are the trial subjects under 18? If 'Yes', specify the estimated number of subjects planned in each age range for the whole trial:	No •
	Approx. No. of patients ²⁹	
F.1.1.1	In utero	() No •
F.1.1.2	Preterm newborn infants (up to gestational age < 37 weeks)	() No •
F.1.1.3	Newborns (0-27 days)	() No •
F.1.1.4	Infants and toddlers (28 days - 23 months)	() No •
F.1.1.5	Children (2-11 years)	() No •
F.1.1.6	Adolescents (12-17 years)	() No •
F.1.2	Adults (18-64 years)	(1040) Yes •
F.1.3	Elderly (>= 65 years)	(560) Yes •
F.2 GENDER		
F.2.1	Female	Yes •
F.2.2	Male	Yes •
F.3 GROUP OF TRIAL SUBJECTS		
F.3.1	Healthy volunteers	No •
F.3.2	Patients	Yes •
F.3.3	Specific vulnerable populations	Yes •
F.3.3.1	Women of child bearing potential not using contraception	Yes •
F.3.3.2	Women of child bearing potential using contraception	Yes •
F.3.3.3	Pregnant women	Yes •
F.3.3.4	Nursing women	Yes •
F.3.3.5	Emergency situation	Yes •
F.3.3.6	Subjects incapable of giving consent personally	Yes •
F.3.3.6.1	If 'Yes', specify: English	Patients will not be capable of giving consent because of an impaired consciousness due to the the trauma mechanism and the use of medication. We believe this is justified due to the perspective of research within the trauma field.
F.3.3.7	Others:	No •
F.3.3.7.1	If 'Yes', specify:	
F.4 PLANNED NUMBER OF SUBJECTS TO BE INCLUDED:		
F.4.1	In the member state	700
F.4.2	For a multinational trial:	
F.4.2.1	In the EEA	1600
F.4.2.2	In the whole clinical trial	1600
F.5 PLANS FOR TREATMENT OR CARE AFTER THE SUBJECT HAS ENDED HIS/HER PARTICIPATION IN THE TRIAL. please specify (free text):		
English	None	

G. CLINICAL TRIAL SITES/INVESTIGATORS IN THE MEMBER STATE CONCERNED BY THIS REQUEST

G.1	CO-ORDINATING INVESTIGATOR (for multicentre trial) and principal investigator (for single centre trial)	
G.1.1	Given name:	Tobias
G.1.2	Middle name, if applicable:	
G.1.3	Family name:	Arleth
G.1.4	Qualification (MD.....)	Medical doctor
G.1.5	Professional address:	
G.1.5	Institution name	Rigshospitalet
G.1.5	Institution department	Department of Anaesthesiology, Centre of Head and Orthopaedics
G.1.5.1	Street address	Inge Lehmanns Vej 6
G.1.5.2	Town/city	Copenhagen
G.1.5.3	Post code	2100
G.1.5.4	Country	Denmark
G.1.6	Telephone number:	+45 35459502
G.1.7	Fax number:	
G.1.8	E-mail:	tobias.arleth@regionh.dk

G.2	PRINCIPAL INVESTIGATORS (for multicentre trial ; where necessary, use additional forms)	
G.2.1	Given name:	Søren
G.2.2	Middle name, if applicable:	
G.2.3	Family name:	Mikkelsen
G.2.4	Qualification (MD.....)	Medical doctor
G.2.5	Professional address:	
G.2.5	Institution name	Odense University Hospital
G.2.5	Institution department	The Preshospital Research Unit, Mobile Emergency Care Unit, Department of Anaesthesiology and Intensive Care Medicine
G.2.5.1	Street address	J.B. Winsløvs Vej 4
G.2.5.2	Town/city	Odense C
G.2.5.3	Post code	5000
G.2.5.4	Country	Denmark
G.2.6	Telephone number:	
G.2.7	Fax number:	
G.2.8	E-mail:	Soeren.Mikkelsen@rsyd.dk

G.2	PRINCIPAL INVESTIGATORS (for multicentre trial ; where necessary, use additional forms)	
G.2.1	Given name:	Mikkel
G.2.2	Middle name, if applicable:	
G.2.3	Family name:	Andersen
G.2.4	Qualification (MD.....)	Medical doctor
G.2.5	Professional address:	
G.2.5	Institution name	Aarhus University Hospital
G.2.5	Institution department	Department of Anestehsiology (B&O2)
G.2.5.1	Street address	Palle Juul-Jensens Boulevard 99
G.2.5.2	Town/city	Aarhus N
G.2.5.3	Post code	8200
G.2.5.4	Country	Denmark
G.2.6	Telephone number:	+45 20226661
G.2.7	Fax number:	
G.2.8	E-mail:	Mikkel.Andersen@ph.rm.dk

G.3 CENTRAL TECHNICAL FACILITIES TO BE USED IN THE CONDUCT OF THE TRIAL	
Laboratory or other technical facility, in which the measurement or assessment of the main evaluation criteria are centralised (repeat as needed for multiple organisations).	
G.3.1	Name of organisation:
G.3.2	Department
G.3.3	Name of contact person:
G.3.3.1	Given name
G.3.3.2	Middle name
G.3.3.3	Family name
G.3.4	Address:
G.3.4.1	Street address
G.3.4.2	Town/city
G.3.4.3	Post code
G.3.4.4	Country
G.3.5	Telephone number:
G.3.6	Fax number:
G.3.7	E-mail:
G.3.8	Enter the details of any duties subcontracted to this central technical facility in this trial
G.3.8.1	Routine clinical pathology testing Yes ? No ? Not Answered ?
G.3.8.2	Clinical chemistry Yes ? No ? Not Answered ?
G.3.8.3	Clinical haematology Yes ? No ? Not Answered ?
G.3.8.4	Clinical microbiology Yes ? No ? Not Answered ?
G.3.8.5	Histopathology Yes ? No ? Not Answered ?
G.3.8.6	Serology/ endocrinology Yes ? No ? Not Answered ?
G.3.8.7	Analytical chemistry Yes ? No ? Not Answered ?
G.3.8.8	ECG analysis/ review Yes ? No ? Not Answered ?
G.3.8.9	Medical image analysis/ review - X-ray, MRI, ultrasound, etc. Yes ? No ? Not Answered ?
G.3.8.10	Primary/ surrogate endpoint test Yes ? No ? Not Answered ?
G.3.8.11	Other Duties subcontracted? Yes ? No ? Not Answered ?
G.3.8.11.1	If 'Yes', specify the other duties

G.4 NETWORKS TO BE INVOLVED IN THE TRIAL (e.g. Paediatric Networks involved in the trial)	
G.4.1	Name of organisation:
G.4.2	Name of contact person:
G.4.2.1	Given name
G.4.2.2	Middle name
G.4.2.3	Family name
G.4.3	Address:
G.4.3.1	Street address
G.4.3.2	Town/city
G.4.3.3	Post code
G.4.3.4	Country
G.4.4	Telephone number:
G.4.5	Fax number:
G.4.6	E-mail:
G.4.7	Activities carried out by the network:

G.5 ORGANISATIONS TO WHOM THE SPONSOR HAS TRANSFERRED TRIAL RELATED DUTIES AND FUNCTIONS	
G.5.1	Has the sponsor transferred any major or all the sponsor's trial related duties and functions to another organisation or third party? Yes •
Repeat as necessary for multiple organisations:	

G.5.1.1	Organisation name:	GCP Enhederne
G.5.1.2	Organisation department	GCP København
G.5.1.3	Name of contact person :	
G.5.1.3.1	Given name	
G.5.1.3.2	Middle name	
G.5.1.3.3	Family name	
G.5.1.4	Address:	
G.5.1.4.1	Street address	Nordre Fasanvej 57, Skadestuevej 1, parterre
G.5.1.4.2	Town/city	Frederiksberg
G.5.1.4.3	Post code	2000
G.5.1.4.4	Country	Denmark
G.5.1.5	Telephone number:	+45 38 63 56 20
G.5.1.6	Fax number:	
G.5.1.7	E-mail:	gcp-enheden.bispebjerg-frederiksberg-hospitaller@regionh.dk
G.5.1.8	All tasks of the sponsor	No •
G.5.1.9	Monitoring	Yes •
G.5.1.10	Regulatory (e.g. preparation of applications to CA and ethics committee)	No •
G.5.1.11	Investigator recruitment	No •
G.5.1.12	IVRS ³⁰ – treatment randomisation	No •
G.5.1.13	Data management	No •
G.5.1.14	E-data capture	No •
G.5.1.15	SUSAR reporting	No •
G.5.1.16	Quality assurance auditing	Yes •
G.5.1.17	Statistical analysis	No •
G.5.1.18	Medical writing	No •
G.5.1.19	Other duties subcontracted?	No •
G.5.1.19.1	If 'Yes' to other, please specify:	
G.5	ORGANISATIONS TO WHOM THE SPONSOR HAS TRANSFERRED TRIAL RELATED DUTIES AND FUNCTIONS	
G.5.1	Has the sponsor transferred any major or all the sponsor's trial related duties and functions to another organisation or third party? Yes •	
	Repeat as necessary for multiple organisations:	
G.5.1.1	Organisation name:	Center for IT, Medico og Telefoni
G.5.1.2	Organisation department	CIMT Region Hovedstaden
G.5.1.3	Name of contact person :	
G.5.1.3.1	Given name	
G.5.1.3.2	Middle name	
G.5.1.3.3	Family name	
G.5.1.4	Address:	
G.5.1.4.1	Street address	Borgervænget 7
G.5.1.4.2	Town/city	Copenhagen
G.5.1.4.3	Post code	2100
G.5.1.4.4	Country	Denmark
G.5.1.5	Telephone number:	+45 38 64 80 00
G.5.1.6	Fax number:	
G.5.1.7	E-mail:	center-for-it-medico-og-telefoni@regionh.dk
G.5.1.8	All tasks of the sponsor	No •
G.5.1.9	Monitoring	No •
G.5.1.10	Regulatory (e.g. preparation of applications to CA and ethics committee)	No •
G.5.1.11	Investigator recruitment	No •
G.5.1.12	IVRS ³⁰ – treatment randomisation	No •
G.5.1.13	Data management	No •
G.5.1.14	E-data capture	Yes •
G.5.1.15	SUSAR reporting	No •
G.5.1.16	Quality assurance auditing	No •
G.5.1.17	Statistical analysis	No •

G.5.1.18	Medical writing	No •
G.5.1.19	Other duties subcontracted?	Yes •
G.5.1.19.1	If 'Yes' to other, please specify: REDCap	

H. COMPETENT AUTHORITY / ETHICS COMMITTEE IN THE MEMBER STATE CONCERNED BY THIS REQUEST

H.1 TYPE OF APPLICATION		
If this application is addressed to the Competent Authority, please tick the Ethics Committee box and give information on the Ethics committee concerned. If this application is addressed to the Ethics Committee, please tick the Competent Authority box and give the information on the Competent Authority concerned.		
H.1.1	Competent Authority	Yes ●
H.1.2	Ethics Committee	No ●
H.2 INFORMATION ON COMPETENT AUTHORITY		
H.2.1	Name:	
H.2.2	Address	
H.2.2.1	Street address	
H.2.2.2	Town/city	
H.2.2.3	Post code	
H.2.2.4	Country	
H.2.3	Date of submission:	
H.3 AUTHORISATION		
H.3.1	To be requested	No ●
H.3.2	Pending	No ●
H.3.3	Given	No ●
	If 'Given', specify:	
H.3.3.1	Date of authorisation:	
H.3.3.2	Authorisation accepted	No ●
H.3.3.3	Not accepted	No ●
	If not accepted, give:	
H.3.3.3.1	The reasons	
H.3.3.3.2	The eventual anticipated date of resubmission:	

I. SIGNATURE OF THE APPLICANT IN THE MEMBER STATE

I.1	I hereby confirm that /confirm on behalf of the sponsor (delete which is not applicable) that: <ul style="list-style-type: none">• the information provided is complete;• the attached documents contain an accurate account of the information available;• the clinical trial will be conducted in accordance with the protocol; and• the clinical trial will be conducted, and SUSARs and result-related information will be reported, in accordance with the applicable legislation.
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I.2	APPLICANT OF THE REQUEST FOR THE COMPETENT AUTHORITY (as stated in section C.1):
I.2.1	Date:
I.2.2	Signature ³¹ :
I.2.3	Print name:

I.3	APPLICANT OF THE REQUEST FOR THE ETHICS COMMITTEE (as stated in section C.2):
I.3.1	Date:
I.3.2	Signature ³² :
I.3.3	Print name:

Coordinating and primary investigator signature

Sponsor signature

Tobias Arleth

JACOB STEINMETZ



11/03-21

11/3-21

ENDNOTES

- ¹ Any translation of the protocol should be assigned the same date and version as those in the original document.
- ² International Standard Randomised Controlled Trial Number. Sponsors may wish to use an International Standardised Random Controlled Trial Number (ISRCTN) to identify their trial in addition to the EudraCT number; for instance if their trial is part of a multinational trial with sites outside the Community. They can obtain the number and guidance from the Current Controlled Trials website <http://www.controlled-trials.com/isrctn> to which there is a link from the EudraCT database website <http://eudract.ema.europa.eu>. When available they should provide it in Section A.6 of the application form.
- ³ US National Clinical Trial (NCT) Numbers required on the FDA clinical trial application form.
- ⁴ For a resubmission following previous withdrawal of an application or unfavourable opinion of an ethics committee, or previous withdrawal of an application or refusal of a request by the competent authority, enter a letter in the sequence, A for first resubmission, B for second, C for third et seq.
- ⁵ In accordance with Article 19 of Directive 2001/20/EC.
- ⁶ The contact point should give functional information rather than details of one "person", in order to avoid the need for update and maintenance of these contact details.
- ⁷ This requires a EudraLink account. (See <https://eudract.ema.europa.eu/document.html> for details)
- ⁸ According to national legislation.
- ⁹ Available from the Summary of Product Characteristics (SmPC)
- ¹⁰ According to the Community register on orphan medicinal products (Regulation (EC) n° 141/2000): <http://ec.europa.eu/enterprise/pharmaceuticals/register/index.htm>
- ¹¹ Committee for Medicinal Products for Human Use of the European Medicines Agency
- ¹² To be provided only when there is No trade name. This is the name routinely used by a sponsor to identify the IMP in the CT documentation (protocol, IB...).
- ¹³ To be provided only when there is No trade name. This is a code designated by the sponsor which represents the name routinely used by the sponsor to identify the product in the CT documentation. For example, a code may be used for combinations of drugs or drugs and devices.
- ¹⁴ Available from the Summary of Product Characteristics (SmPC).
- ¹⁵ Chemical Abstracts Service.
- ¹⁶ Complete also section D.4 Cell therapy as defined in Annex 1 part IV of Directive 2001/83/EC as amended.
- ¹⁷ Complete also section D.5 Gene Therapy as defined in Annex 1 part IV of Directive 2001/83/EC as amended.
- ¹⁸ Complete also section D.6 - Tissue Engineered Product as defined in Article 2(1)(b) of Regulation 1394/2007/EC.
- ¹⁹ Complete also section D.7
- ²⁰ The mode of action should briefly describe the chemical, biochemical, immunological or biological means the IMP uses to effect its pharmaceutical action.
- ²¹ Guideline on strategies to identify and mitigate risks for first-in-human clinical trials with investigational medicinal products. EMEA/CHMP/SWP/28367/2007 19 July 2007
- ²² In accordance with paragraph 38 of Annex 13 of Volume 4 of the Rules Governing Medical Products in the European Union.
- ²³ In the case of healthy volunteer trials, the intended indication for the product under development should be provided.
- ²⁴ Applicants are encouraged to provide the MedDRA lower level term if applicable and classification code. These can be accessed from the EMEA EudraCT website (<http://eudract.ema.europa.eu/>).
- ²⁵ Points to consider on the calculation and reporting of the prevalence of a condition for Orphan drug designation: COM/436/01 (<http://www.ema.europa.eu/htms/human/orphans/intro.htm>).
- ²⁶ The protocol will usually identify a single primary end point but there may be a co-primary end point in some cases and/or a number of secondary end points.
- ²⁷ The descriptions of the trial types provided are those recommended in preference to Phases. See page 5 of Community guideline CPMP/ICH/291/95. The development of a new indication after initial approval of a medicine should be considered as a new development plan.
- ²⁸ From the first inclusion until the last visit of the last subject.
- ²⁹ These numbers will be initial estimates. Applicants will not be required to update this information nor do they constitute an authorisation or restriction on the inclusion of these numbers of patients in the trial. The numbers of subjects whose inclusion is authorised are those set out in the authorised version of the protocol, or subsequent authorised amendments.
- ³⁰ Interactive Voice Response System: commonly used for randomisation of treatment and controlling the shipment of stock of product.
- ³¹ On an application to the Competent Authority only, the applicant to the Competent Authority needs to sign.

³² On an application to the Ethics Committee only, the applicant to the Ethics Committee needs to sign.